

CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.

1607.1001CIP/C

First Named Inventor:

Prakash KHATRI

Title:

DRY THERMAL INTERFACE MATERIAL

Express Mail Label No.

17363 U.S. PTO
10/612042

07/03/03

APPLICATION ELEMENTS

See MPEP chapter 600
concerning utility
patent application contents.

ADDRESS TO:

**Commissioner for Patents
Box Patent Application
PO Box 1450
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract [Total Pages: 20]
3. ☐ Drawing(s) (35 USC 113) [Total Sheets:] [FIGS.]
4. ☒ Oath or Declaration [Total Pages: 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Applicant claims foreign priority benefit to: Application filed
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to AOS Thermal Compounds of Eatontwon, New Jersey at Reel 012368, Frame 0975
 - ☒ for publication of assignee information under 37 CFR 1.215(b)

ACCOMPANYING APPLICATION PARTS

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☐ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☐ Certified Copy of Priority Document(s) filed in prior application No. .
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☐ Preliminary Amendment
 - a. ☐ enclosed herewith.
 - b. ☐ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☐ Other:

21. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of allowed U.S. Application Serial No. 09/951,501, filed September 14, 2001, and entitled DRY THERMAL INTERFACE MATERIAL, which is a continuation-in-part of U.S. Application Serial No. 09/661,729, filed September 14, 2000, and entitled: "DRY THERMAL GREASE", now U.S. Patent No. 6,475,962.

Prior application information: Examiner: E.M. McAvoy
Group/Art Unit: 1764

Preliminary Amendment:

- ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ Amend the specification by inserting before the first line the sentence:


22. NEW CORRESPONDENCE ADDRESS * CUSTOMER NO. 21,171



21171

PATENT TRADEMARK OFFICE

23. SIGNATURE OF ATTORNEY OR AGENT

NAME	William F. Herbert	REGISTRATION NO.	31,024
SIGNATURE		DATE	7/3/03

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1607.1001CIPC
		Application Number	Not yet assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Prakash KHATRI
AMOUNT ENCLOSED	\$ 711		

FEE CALCULATION (fees effective 10/01/01)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	20 - 20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	11 - 3 =	8	X \$ 84.00 =	\$ 672
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =	
	BASIC FILING FEE				750
	Total of above Calculations =				\$ 1422
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				-\$711
	TOTAL FILING FEE =				\$ 711
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				
	TOTAL FEES DUE =				\$ 711

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

19-3935

Deposit Account Name

STAAS & HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name William F. Herbert

Reg. No.

31,024

Signature

Date

7/3/02

